

IRSTI 27.15.25

A.Y. Keulimzhayev<sup>1</sup>

<sup>1</sup>Suleyman Demirel university, Kaskelen, Kazakhstan

## SIERPINSKI TRIANGLE AND OPEN SET CONDITION

**Abstract.** In terms of the development of modern technology, the use of computer programs has become normal. Even in the field of mathematics, using computer programs, we have opened a new path to the development of science. In this article we used the iterated function systems, calculating the Box dimension of the Sierpinski triangle and using the arguments of the Sierpinski triangle functions, calculated the computer - software Bisection method and collected the analyses. We determine when it is possible to correctly use the methods to calculate the dimensions of fractal sets. Comparing the results collected in this article, we determine the effectiveness-inefficiency of both methods and incorrectly determined values of methods, and show them in the form of a table.

**Key words:** iterated function systems, open set condition, Sierpinski triangle, Box dimension, matlab, computer programming calculations.

\*\*\*

**Андатпа.** Қазіргі технологияның дамыған заманында компьютерлік бағдарламаларды қолдану қалыпты жағдайға айналды. Тіпті математика саласында да есептеу бағдарламаларын қолдану арқылы ғылымның дамуына жаңа жол аштық. Біз аталмыш мақалада итерациялық функционалдық жүйесін қолданып, компьютерлік Matlab бағдарламасымен Серпин үшбұрышының Воx өлшемін есептедік және Серпин үшбұрышы функцияларының аргументтерін компьютерлік - бағдарламалық Бисекция әдісімен есептеп, анализдер жинадық. Фракталды жиындардың өлшемін есептеуге арналған әдістерді қай кезде дұрыс қолдануға болатынын анықтаймыз. Біз бұл мақалада жиналған нәтижелерді салыстыра отырып екі әдістің де тиімді-тиімсіздігін, әрі әдістердің дұрыс анықталмайтын мәндерін анықтап оны кесте түрінде көрсетеміз.

**Түйін сөздер:** итерациялық функционалдық жүйелер, ашық жиын шарты, Серпин үшбұрышы, Минков өлшемі (Воx өлшемі), матлаб, компьютерлік бағдарлама есептеулері.

\*\*\*

**Аннотация.** В условиях развития современной технологии применение компьютерных программ стало нормальным. Даже в области математики, используя вычислительные программы, мы открыли новый путь к развитию науки. Мы в данной статье использовали систему

итерационные функций, рассчитав размерность Минковского(Box) треугольника Серпина и используя аргументы функций Серпинского треугольника, рассчитали компьютерного - программного методом Бисекция и собирали анализы. Определим, когда можно правильно использовать методы для расчета размеров фрактальных множеств. Сравнивая результаты, собранные в данной статье, мы определяем эффективность-неэффективность обоих методов и неправильно определяемые значения методов, и показываем их в виде таблицы.

**Ключевые слова:** итерационные функциональные системы, условия открытых множеств, Серпинский треугольник, меры Минковского (размерностьBox), матлав, вычисления компьютерного программирования.

### *Introduction*

In geometry, fractals are very natural sets that have self-similarity. Certain fractals are more complex in nature and it is the important question to measure the complexity of these objects. One such option is by means of their box dimension.

In some cases it is possible to analytically compute exact Box dimension. One such general case is when the fractal is obtained as the fixed point of certain conformal Iterated Function Systems (IFSs) that satisfies the so called Open Set Condition (OSC). For all these notions are recalled in the next section.

However, in general analytic exact solution for box dimension problem is a very difficult task. In particular, for IFS if the OSC fails, then there is no guarantee that the formula will work.

In this paper, we want to consider a special family of fractals, called Sierpinski Triangles. For various parameters the fractal structure changes and so does the box dimension. Our goal is to consider various parameter values that determine the IFS for Sierpinski Triangle and try to estimate their box dimensions. On one hand, we use the formula from the literature that is valid when OSC holds and on the other hand we use computer software to numerically estimate the dimension. Then, we compare the two values to get an idea when the OSC seems to hold true.

Scientists such as A. A. Vinogradova, D. N. Kaliteevsky, talked about getting a dimension from the Sierpinski triangle. Other scientists were able to calculate its dimension in an equilateral triangle only in a single position. After that "why not bring the sizes of different kinds of serpin triangle?" the question arose. So I studied all the other situations and found all the dimensions.

First of all, we describe the systems and functions that we use. Familiarize yourself with this system because the function was used through the Iterated function system. An iterated function system (IFS) is a set of abbreviations

$\{S_1, S_2, \dots, S_m\}$ , with  $m \geq 2$ , on a closed subset  $D$  of  $\mathbb{R}^n$ . A nonempty compact subset  $F$  of  $D$  is an IFS attractor if

$$F = \bigcup_{i=1}^m S_i(F) \quad (1)$$

Is a method of creating fractals this is an Iterative functional system in mathematics. Fractal-a mathematical set that has the properties of self-identification (object, exactly or approximately coincides with one part, it is a form as a whole one or more parts).

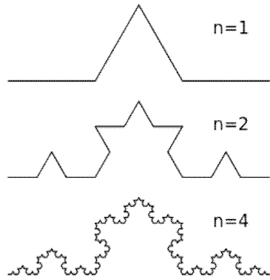


Image 1

The function we use is a function of the Sierpinski triangle. There are several ways to obtain the function of this Sierpinski triangle. For the first time such concept of a triangle was introduced in 1915 by the Polish mathematician Vaclav Sierpinski. To get it, you need to take an (equilateral) triangle from the inside, hold it along the middle line and throw out the Central of the four formed small triangles. Then the same actions should be repeated with each of the remaining three triangles, etc. The 2-figure shows the first four steps, and in the flash demonstration you can practice and take a step to an infinite step.



Image 2

A dimension is the distance in one direction, in this case, width. Fractal dimension is a fundamental concept of geometry. It is known that we usually know a straight line or curves is dimension of the 1- dimensional, surface is 2-dimensional and figure in planimetries is 3- dimensional. The definition of measurement is mainly based on the idea of "measuring sets at scale  $\delta$ ". We can measure every geometric pattern. For each  $\delta$ , we measure the set in such a way that we detect inhomogeneities of the delta of size  $\delta$ , and we see how these measurements behave like  $\delta \rightarrow 0$ . Thus, one of the methods of computation of

dimension is the Box dimension. Kenneth Falconer<sup>[1]</sup> fully specified in his work the generalization and calculation of the formula.

When  $F$  is a limited subset of  $R^n$ , then Box dimension of  $F$  is defined as

$$\dim_B F = \lim_{\delta \rightarrow 0} \frac{\log N_\delta(F)}{-\log \delta} \quad (2)$$

Here,  $N(F)$  be the least number of sets of diameter at most  $\delta$  which can cover  $F$ . Based on this formul, we will calculate the dimension of the Serpinski triangle.

One of the most important concepts in this article is the open set condition (OSC). The  $f_i$  are said to satisfy the OSC if there exists a nonempty open set  $V \subset R^n$  such that

$$\bigcup_{i=1}^m f_i(V) \subseteq V \text{ and } f_i(V) \cap f_j(V) = \emptyset \text{ for } i \neq j. \quad (3)$$

We can also calculate Hausdorff and box dimensions using the OSC for self-similar set  $F$ . For this it is necessary to use the theorem dimensions of self-similar sets in the scientific work<sup>[2]</sup> of Kenneth Falconer. As shown in the theorem, suppose  $S_i$  on  $R^n$  with radius  $0 < r_i < 1$  for  $1 \leq i \leq m$  is satisfied for similarity the open set condition and if there is

$$F = \bigcup_{i=1}^m S_i(F) \quad (4)$$

so  $F$  is the attractor of the IFS  $\{S_1, \dots, S_m\}$ , then the formulation  $\dim_B F = s$  is correct. Where  $s$  is obtained by the equation

$$\sum_{i=1}^m r_i^s = 1 \quad (5)$$

at  $0 < H^s(F) < \infty$ . The proof of this can be seen in this article.

### 1. Main part

Let's take its graphical image using the Sierpinski triangle function, using the version of Paulo Silva in Matlab For  $\alpha = 2:N$ ,  $N=10\ 000$ ,  $\alpha_i, \beta_i \in (0; 1)$ ,  $i = \overline{1; 3}$  Sierpinski's triangle depends on the arguments  $\alpha_i$  and  $\beta_i$ :

$$f_1(\alpha_1, \beta_1) = \begin{cases} x(\alpha) = \alpha_1 * x * (\alpha - 1) \\ y(\alpha) = \beta_1 * y * (\alpha - 1) \end{cases}$$

$$f_2(\alpha_2, \beta_2) = \begin{cases} x(a) = \alpha_2 * x * (a - 1) + 0.25 \\ y(a) = \beta_2 * y * (a - 1) + \frac{\sqrt{3}}{4} \end{cases}; \quad (6)$$

$$f_3(\alpha_3, \beta_3) = \begin{cases} x(a) = \alpha_3 * x * (a - 1) + 0.5 \\ y(a) = \beta_3 * y * (a - 1) \end{cases};$$

Here  $\alpha$  and  $\beta$  consider the arguments (0;1) in the interval. Moving on step +0.1 each you can extract the image of Matlab (729 pieces). These collected graphs can be used to study this function. Of these, choose a random three images (image 3-5):

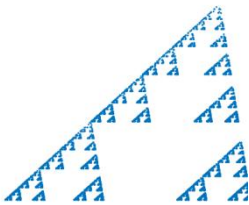


Image 3

$$\begin{aligned} \alpha_1 &= \beta_1 = 0.4 \\ \alpha_2 &= \beta_2 = 0.6 \\ \alpha_3 &= \beta_3 = 0.3 \end{aligned}$$

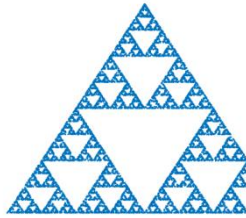


Image 4

$$\begin{aligned} \alpha_1 &= \beta_1 = 0.5 \\ \alpha_2 &= \beta_2 = 0.5 \\ \alpha_3 &= \beta_3 = 0.5 \end{aligned}$$



Image 5

$$\begin{aligned} \alpha_1 &= \beta_1 = 0.7 \\ \alpha_2 &= \beta_2 = 0.8 \\ \alpha_3 &= \beta_3 = 0.3 \end{aligned}$$

Find these images by the Box dimension method, i.e. with the formula (2) – the dimension of the Sierpinski triangle. To do this, we calculate the dimension using the Matlab code[3] that was written by Frederic Moisy in this way, i.e. Box dimension. Thus, the dimension of the Sierpinski triangle can be found in the Matlab with a very small error accuracy (for example:  $\alpha_1=\beta_1=0.6, \alpha_2=\beta_2=0.4, \alpha_3=\beta_3=0.5 \Rightarrow \dim_B F = 1.4534 \pm 0.18967$ ).

If you are running open set condition on a set of function points, the following method for calculating dimension - the Bisection method. If for a set of points functions performed open set condition, then the following method to calculate dimension - the method of Bisection. In this method the arguments  $\alpha_1 = \beta_1 \equiv \gamma_1, \alpha_2 = \beta_2 \equiv \gamma_2, \alpha_3 = \beta_3 \equiv \gamma_3$  to the (6) – function for

$$\gamma_1^x + \gamma_2^x + \gamma_3^x = 1 \quad (7)$$

the value  $x$  in the interval  $0 < x < 2$ , is the dimension in the given (6) - function argument and will be equal to the Box dimension. And in order to find the value of  $x$ , we use the Bisection method to solve equation (7). This method searches for a value by selecting from the given interval  $[a; b]$  to find the value of  $x$  in the Matlab. To solve the Brato Chakrabarti's Matlab algorithm<sup>[4]</sup> using the

Bisection method, we introduce the function " $f(x) = 1 + \gamma_1 x + \gamma_2 x^2 + \gamma_3 x^3$ " looking for  $x$  with the interval  $[a; b] = [0; 20]$ . Where  $x$  is the dimension of the Sierpinski triangle in  $\gamma_1, \gamma_2, \gamma_3 \in (0; 1)$  values.

With the above two ways you can get the dimension of all the Sierpinski triangles. We consider the coefficients of the (6) function as  $\alpha_1 = \beta_1, \alpha_2 = \beta_2, \alpha_3 = \beta_3$  identical. Compared to these initial results (table 1-3), we see that the dimensions occurring by the two methods have mutually incompatible values. Of course, the size of one graphic image can not be of two kinds. The results of the Box Dimension obtained by the method obtained using the figure are absolutely correct. However, some values obtained by Bisection method which were easy and efficient to calculate are false. Because, as we said above, it is necessary to fulfill the open set condition (OSC) for the correct execution of Formula (5). For a function(6), you can view the following tables, which don't always meet the conditions.

Summarizing this, we can analyze the dimensions of the Sierpinski triangle obtained by two methods. First of all, we analyze which methods are used correctly and which methods are effective. Let's group the results and highlight:

- **BOLD NUMBER** – the dimensions obtained by the Bisection method and the  $\dim_B F \pm$  approximation error obtained by the image are equal to each other,
- **NORMAL NUMBER** – the dimensions obtained by the Bisection method and the  $\dim_B F \pm$  approximation error obtained by the image are not equal to each other,
- *ITALIC NUMBER* – the values of the invalid dimension obtained through the Bisection method, which were greater than two.

It is known that the dimension of the Sierpinski triangle should not exceed two, since the image of the function that we study is in the plane (2D). The table shows the values of numbers obtained through the Bisection method.

$$\alpha_i = \beta_i \equiv \gamma_i, i = \overline{1; 3}, \gamma_i \in (0; 1)$$

Table 1:  $\gamma_1 = 0.1, \gamma_2$  – vertically,  $\gamma_3$  – horizontally

$\gamma_3$	0.	0.	0.	0.	0.	0.	0.	0.	0.
	1	2	3	4	5	6	7	8	9
0.	0.	0.	0.	0.	0.	0.	0.	1.	1.
.1	4771	5381	5931	6493	7110	7830	8734	<b>0000</b>	2197
0.	0.	0.	0.	0.	0.	0.	1.	1.	1.
.2	5381	6071	6702	7353	8073	8922	<b>0000</b>	1527	4223
0.	0.	0.	0.	0.	0.	1.	1.	1.	1.
.3	5931	6702	7418	8165	9002	<b>0000</b>	<b>1285</b>	3139	6499
0.	0.	0.	0.	0.	1.	1.	1.	1.	1.
.4	6493	7353	8165	9024	<b>0000</b>	<b>1181</b>	2728	5003	9239

0	0.	0.	0.	1.	1.	1.	1.	1.	2.
.5	<b>7110</b>	<b>8073</b>	<b>9002</b>	<b>0000</b>	<b>1151</b>	<b>2569</b>	4458	7295	2699
0	0.	0.	1.	1.	1.	1.	1.	2.	2.
.6	<b>7830</b>	<b>8922</b>	<b>0000</b>	<b>1181</b>	<b>2569</b>	<b>4309</b>	6672	0288	7292
0	0.	1.	1.	1.	1.	1.	1.	2.	3.
.7	<b>8734</b>	<b>0000</b>	<b>1285</b>	2728	4458	6672	9733	4491	3818
0	1.	1.	1.	1.	1.	2.	2.	3.	4.
.8	<b>0000</b>	<b>1527</b>	3139	5003	7295	0288	4491	1098	4246
0	1.	1.	1.	1.	2.	2.	3.	4.	6.
.9	<b>2197</b>	4223	6499	9239	2699	7292	3818	4246	5788

Table 2:  $\gamma_1 = 0.5$ ,  $\gamma_2$  – vertically,  $\gamma_3$  – horizontally

$\gamma$	0.	0.	0.	0.	0.	0.	0.	0.	0.
1	2	3	4	5	6	7	8	9	
0	0.	0.	0.	1.	1.	1.	1.	1.	2.
.1	<b>7110</b>	<b>8073</b>	<b>9002</b>	<b>0000</b>	<b>1151</b>	<b>2569</b>	4458	7295	2699
0	0.	0.	1.	1.	1.	1.	1.	1.	2.
.2	<b>8073</b>	<b>9051</b>	<b>0000</b>	<b>1019</b>	<b>2187</b>	<b>3612</b>	5489	8267	3484
0	0.	1.	1.	1.	1.	1.	1.	1.	2.
.3	<b>9002</b>	<b>0000</b>	<b>0984</b>	<b>2046</b>	<b>3264</b>	<b>4747</b>	6689	9536	4792
0	1.	1.	1.	1.	1.	1.	1.	2.	2.
.4	<b>0000</b>	<b>1019</b>	<b>2046</b>	<b>3166</b>	<b>4459</b>	<b>6035</b>	8100	1118	6645
0	1.	1.	1.	1.	1.	1.	1.	2.	2.
.5	<b>1151</b>	<b>2187</b>	<b>3264</b>	<b>4459</b>	<b>5850</b>	<b>7559</b>	9809	3113	9178
0	1.	1.	1.	1.	1.	1.	2.	2.	3.
.6	<b>2569</b>	<b>3612</b>	<b>4747</b>	<b>6035</b>	<b>7559</b>	9454	1978	5727	2716
0	1.	1.	1.	1.	1.	2.	2.	2.	3.
.7	4458	<b>5489</b>	6689	8100	9809	1978	4921	9389	7987
0	1.	1.	1.	2.	2.	2.	2.	3.	4.
.8	7295	8267	9536	1118	3113	5727	9389	5162	6899
0	2.	2.	2.	2.	2.	3.	3.	4.	6.
.9	2699	3484	4792	6645	9178	2716	7987	6899	6723

Table 3:  $\gamma_1 = 0.9$ ,  $\gamma_2$  – vertically,  $\gamma_3$  – horizontally

$\gamma$	0.	0.	0.	0.	0.	0.	0.	0.	0.9
1	2	3	4	5	6	7	8		
0	1.	1.	1.	1.	2.	2.	3.	4.	6.5
.1	2197	4223	6499	9239	2699	7292	3818	4246	788
0	1.	1.	1.	2.	2.	2.	3.	4.	6.5
.2	4223	5915	7903	0342	3484	7763	4027	4298	791
0	1.	1.	1.	2.	2.	2.	3.	4.	6.5

.3	6499	7903	9674	1899	4792	8763	4658	4558	822
0	1.	2.	2.	2.	2.	3.	3.	4.	6.6
.4	9239	0342	1899	3943	6645	0369	5912	5308	012
0	2.	2.	2.	2.	2.	3.	3.	4.	6.6
.5	2699	3484	4792	6645	9178	2716	7987	6899	723
0	2.	2.	2.	3.	3.	3.	4.	4.	6.8
.6	7292	7763	8763	0369	2716	6098	1190	9768	675
0	3.	3.	3.	3.	3.	4.	4.	5.	7.3
.7	3818	4027	4658	5912	7987	1190	6177	4651	067
0	4.	4.	4.	4.	4.	4.	5.	6.	8.2
.8	4246	4298	4558	5308	6899	9768	4651	3321	276
0	6.	6.	6.	6.	6.	6.	7.	8.	10.
.9	5788	5791	5791	6012	6723	8675	3067	2276	4272

If we look at these results, we see that the number of incorrect results obtained by the Bisection method increases. Even in the last table we can see that there were no correct values.

## 2. Conclusion

As a result, based on the results of the table, in some cases (see bolded results in the table) we see that the calculation of the Bisection method is more profitable than the calculation via of the figure. In some values, you can see that the results of the Bisection calculations are incorrect (see normally and italic results in the table). As already mentioned above, the method<sup>[3]</sup> of determining the Box dimensions using image of Sierpinski triangle, which was long and hard is absolutely correct. However, because the open set condition is sometimes not satisfied for the members of a set, the Bisection method may not always be efficient and correct.

## References:

1. Kenneth Falconer: Fractal Geometry // University of St Andrews - UK, 2014.
2. Paulo Silva: Plotting Sierpinski's triangle // <https://www.mathworks.com/matlabcentral/answers/2180-plotting-sierpinski-s-triangle>.
3. F. Moisy, submission "boxcount" on Matlab Central File Exchange // <https://fr.mathworks.com/matlabcentral/fileexchange/13063-boxcount>.
4. Brato Chakrabarti: Bisection Method // [https://www.mathworks.com/matlabcentral/fileexchange/33748-bisection-method?s\\_tid=prof\\_contriblnk](https://www.mathworks.com/matlabcentral/fileexchange/33748-bisection-method?s_tid=prof_contriblnk).